**Global Tax Information for Entity Accounts**

In terms of Foreign Account Tax Compliance Act (FATCA), a US federal law and Multilateral Competent Authority Agreement (MCAA),statutorily mandated in India, each Client is required to disclose the **“Tax Residency Details”** and their **“Controlling Persons”** for such entities investing in the Indian Financial markets.

“Controlling Persons” are natural persons who exercise control over an entity and includes its beneficial owners**.** Such “Controlling Persons / Beneficial Owners” may be acting alone or collectively or through one or more juridical person and exercise control through ownership ultimately leading to a controlling interest / entitlements to:

1. 10% or more share or capital or profits of the entity.

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| --- | --- | --- |
| **SECTION I – Basic details of the Entity** | | |
| 1.1 | Full Name of the Entity |  |
| 1.2 | Entity Registration Number |  |
| 1.3 | Country of Registration of entity |  |
| 1.4 | Nature of Business | 🞎 Manufacturing 🞎 Finance / Investments  🞎 Services 🞎 Consultants 🞎 Trading  🞎 Others (please specify)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| **SECTION II - Particulars of ‘Tax Residency Status’ of the Entity** | | |
| 2.1 | Tax Identification Number allotted by tax authorities in country of tax residency  (Provide TIN or its functional equivalent or Company Identification Number or Global Entity Identification Number in your country of residence) |  |

**Disclosure on Controlling Persons of the Entity**

**(use muliple sheets of this disclosure where needed)**

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| SECTION III – Basic details of the Controlling Person of the Entity | | |
| Details of each controlling person of the Entity, confirming countries of tax residency/ permanent residency/ citizenship and Tax Identification Numbers for EACH controlling person  (To be filled by each controlling person of reporting entity separately) | | |
| 3.1 | Name |  |
| 3.2 | Father’s name  (mandatory if PAN not provided) |  |
| 3.3 | Nationality |  |
| 3.4 | Passport Number |  |
| 3.5 | City of birth |  |
| 3.6 | Country of birth |  |
| 3.7 | Occupation type | 🞎 Service 🞎 Business  🞎 Others \_\_\_\_\_\_\_\_\_\_\_\_ (Please specify) |
| 3.8 | % of beneficial interest |  |
| 3.9 | Type of Controlling Person | Controlling Person through:  🞎 Ownership  🞎 Trustee of Trust  🞎 Beneficiary of Trust  🞎 Settlor of Trust  🞎 Protector of Trust  🞎 Senior Managing Official  🞎 Other Means |
| SECTION IV - ‘Tax Residency Status’ of the Controlling Person of the Entity | | |
| 4.1 | I am resident in India for tax purposes | 🞎 Yes 🞎 No |
| If the answer to 4.1 is NO, then please provide your answers to 4.2 and 4.3 otherwise proceed to Declaration | | |
| 4.2 | Tax Residence Country Name |  |
| Address |  |
| City |  |
| PIN Code |  |
| Address Type | 🞎 Residential  🞎 Business |
| Tax Identification Number (or its equivalent in your country of residence) allotted by the tax authorities |  |
| 4.3 | I am tax resident in more than one country | 🞎 Yes  🞎 No |
| If the answer to 4.3 is YES, then please provide your answers to 4.4 otherwise proceed to Declaration | | |
| 4.4 | Tax Identification Number (or its equivalent) allotted by tax authorities in second country of tax residency |  |
| Section V – Declaration | | |
| We agree to notify Orbis within 30 days (7 working days in case of FPI clients) of any change in our ‘Tax Residence Status’ for income tax purposes as stated above.  We agree to indemnify Orbis in respect of any false or misleading information regarding our ‘Tax Residency Status’ being furnished to Orbis. | | |

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Signature

Date:

Place: